

FORM FOR THE IDENTIFICATION OF THE ULTIMATE BENEFICIAL OWNER OF LEGAL ENTITIES AS REFERRED TO IN ARTICLE 3(1)(B) DDO (FORM T)

(In case of more than one beneficial owner, each beneficial owner must complete and sign a separate form)

Legal entity or account holder _____

Account number _____

The following person has been identified as the beneficial owner as referred to in Article 3(1)(b) DDO:

- a natural person who is the effective, non-fiduciary founder or settlor
- a natural or legal¹ person who is a member of the foundation council or board of directors or who serves as a trustee
- a natural person who is a protector or performs a similar or equivalent role
- a natural person who is a beneficiary
- a natural person who ultimately controls the legal entity as a result of direct or indirect ownership rights or by some other means
- a legal entity¹ who is a beneficiary and who meets the requirements of Article 2(1)(b) of the Liechtenstein Due Diligence Act (DDA; *Sorgfaltspflichtgesetz - SPG*). The contracting partner must provide the appropriate evidence

Name of the natural person/ company

name of the legal entity¹ Given name* _____

Date of birth/date of formation: _____

Nationality^{*/2} _____

Residential address/domicile address _____

Postcode/town or city _____

Country of residence/country of domicile _____

Holdings in % _____

- a discretionary legal entity that is primarily established or operated in the interest of the following group of persons:

¹ It is sufficient to nominate a legal entity only in the case of:

- a legal entity who is a member of the foundation council or board of directors or who serves as a trustee
- beneficiaries for whom the contracting partner provides evidence of entity status under the terms of Article 2(1)(b) DDA

The fields marked * need only be completed in respect of natural persons.

Deliberately providing false information on this form constitutes a criminal offence under the Liechtenstein Criminal Code (*Strafgesetzbuch*).

Any changes must be immediately notified to the person subject to due diligence.

² If you have more than one nationality, please list all.

Supplementary section of the form "Identification of the ultimate beneficial owner of legal entities" pursuant to Article 3(1)(b) of the Liechtenstein Due Diligence Ordinance (DDO; Sorgfaltspflichtverordnung - SPV) (Form T) based on Article 4a(1)(b) and Article 4a(2) of the Liechtenstein Ordinance concerning International Automatic Exchange of Information in Tax Matters

The purpose of this supplementary section is to determine the tax residency of the controlling persons of a passive NFE or of an investment entity located in a non-participating jurisdiction and managed by another financial institution.

Declaration of information relevant for tax purposes

Please enter in the table below all tax residence states with the corresponding tax identification number (Tax Identification Number; TIN) to the natural person named in form T. If no information is given, the country indicated in form T shall be deemed to be the only state of residence for tax purposes. In this case, too, the bank/investment firm shall be made aware of the relevant TIN or the reason for the missing TIN, unless the natural person referred to in form T is not a person subject to notification.

Country of residence for tax purposes (please list all countries)	TIN

Declaration

The entity acknowledges that it will be obliged to submit the form "Identification of the ultimate beneficial owner of legal entities" in accordance with Article 3(1)(b) of the DDO (Form T) and the supplementary section of this DDO form to the Bank/Investment Firm within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this supplementary section changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank/Investment Firm may be obliged to report information about the entity and its banking relationship(s) with the Bank/Investment Firm, and about its controlling persons, to the Liechtenstein tax authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided in this supplementary section is, to the best of its knowledge, complete and correct.

The legal entity acknowledges that the intentional or negligent disclosure of false information in this supplement, the failure to notify a change in circumstances or the disclosure of false information in connection with changes in the circumstances under Article 28 sec. 3 cl. c of the AIA-Act is punishable.

By signing this supplementary part, the undersigned confirms that he has the power to represent the legal entity.

In accordance with Article 4a(2) of the AEOI Ordinance, the legal entity confirms that the contents of this supplementary section comply precisely with the provisions of Article 4a(1)(b) and (2), Appendix 4 of the AEOI Ordinance.

Place and date

Signature(s) of the entity's authorised signatory (signatories)

Name(s) of the entity's authorised signatory (signatories) in block letters

Intermediary's signature

Approved **BANK ALPINUM** 

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