

**FORM FOR THE IDENTIFICATION OF THE ULTIMATE BENEFICIAL OWNER OF LEGAL ENTITIES AS REFERRED TO IN ARTICLE 3(1)(A) DDO (FORM C)**

(In case of more than one beneficial owner, each beneficial owner must complete and sign a separate form)

**Legal entity or account holder** \_\_\_\_\_

**Account number** \_\_\_\_\_

The following person has been identified as the beneficial owner as referred to in Article 3(1)(a) DDO:

a natural person who ultimately directly or indirectly holds or controls a share or voting rights amounting to 25% or more of that legal entity or receives 25% or more of the profits of that legal entity

a natural person who ultimately in another way exercises control over the business management of that legal entity

a natural person who is a member of the governing body if - after exhausting all possibilities and provided there is no suspicion - no person mentioned above has been determined

**Surname** \_\_\_\_\_

**Given name** \_\_\_\_\_

**Date of birth** \_\_\_\_\_

**Nationality<sup>1</sup>** \_\_\_\_\_

**Residential address** \_\_\_\_\_

**Postcode/town or city** \_\_\_\_\_

**Country of residence** \_\_\_\_\_

**Share in %** \_\_\_\_\_

Deliberately providing false information on this form constitutes a criminal offence under the Liechtenstein Criminal Code (Strafgesetzbuch). Any changes must be immediately notified to the person subject to due diligence. In the event that a member of the governing body is identified as a beneficial owner, it is confirmed by signing this form that the clarifications undertaken did not yield any circumstances indicating the existence of a beneficial owner who, particularly indirectly, holds shares, voting rights, or rights to profit or who otherwise exercises control.

<sup>1</sup>If you have more than one nationality, please list all.

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Please enter in the table below all tax residence states with the corresponding tax identification number (Tax Identification Number; TIN) to the natural person named in Form C. If no information is given, the country indicated in Form C shall be deemed to be the only state of residence for tax purposes. In this case, too, the bank/investment firm shall be made aware of the relevant TIN or the reason for the missing TIN, unless the natural person referred to in Form C is not a person subject to notification.

Country of residence for tax purposes (please list all countries)	TIN

**Declaration**

The entity acknowledges that it will be obliged to submit the form "Identification of the ultimate beneficial owner of legal entities" in accordance with Article 3(1)(a) of the DDO (Form C) and the supplementary section of this DDO form to the Bank/Investment Firm within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this supplementary section changes..

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank/Investment Firm may be obliged to report information about the entity and its banking relationship(s) with the Bank/Investment Firm, and about its controlling persons, to the Liechtenstein tax authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided in this supplementary section is, to the best of its knowledge, complete and correct.

The legal entity acknowledges that the intentional or negligent disclosure of false information in this supplement, the failure to notify a change in circumstances or the disclosure of false information in connection with changes in the circumstances under Art. 28 sec. 3 cl. c of the AIA Act is punishable.

By signing this supplementary part, the undersigned confirms that he has the power to represent the legal entity.

In accordance with Article 4a(2) of the AEOI Ordinance, the legal entity confirms that the contents of this supplementary section comply precisely with the provisions of Article 4a(1)(b) and (2), Appendix 4 of the AEOI Ordinance.

\_\_\_\_\_  
Place and date

\_\_\_\_\_  
Signature(s) of the entity's authorised signatory (signatories)

\_\_\_\_\_  
Intermediary's signature

\_\_\_\_\_  
Name(s) of the entity's authorised signatory (signatories) in block letters

Approved **BANK ALPINUM** 

Bank Alpinum AG  
 Austrasse 59 · Postfach 1528 · LI-9490 Vaduz · Fürstentum Liechtenstein  
 Telefon +423 - 239 62 11 · Fax +423 - 239 62 21 · BIC BALPLI22  
 info@bankalpinum.com · www.bankalpinum.com

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